# Fundamental group

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# Motivation



#### Brouwer's fixed point theorem: informal

We cannot ever perfectly mix coffee.



#### Brouwer's fixed point theorem: rigorous

We cannot ever perfectly mix coffee.

There is no continuous map  $\mathbb{D}^2 \to \mathbb{D}^2$  without fixed point. Here  $\mathbb{D}^2 = \{x \in \mathbb{R}^2 : |x| \le 1\}$ 



#### Brouwer's fixed point theorem: formal

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There is no continuous map  $\mathbb{D}^2 \to \mathbb{D}^2$  without fixed point.

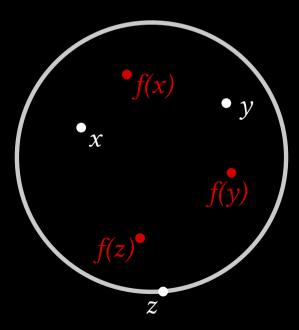
 $\forall f: \mathbb{D}^2 \to \mathbb{D}^2 \exists x \in \mathbb{D}^2 f(x) = x$ 



#### Brouwer's fixed point theorem: start of a proof

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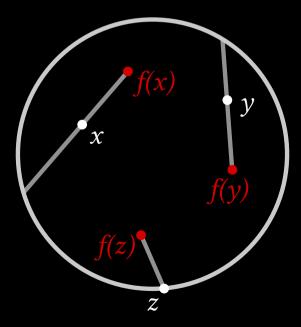
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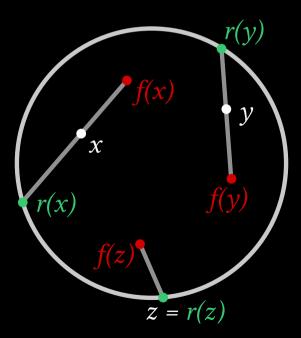
#### Brouwer's fixed point theorem: start of a proof

Supporse there is a continuous map  $\mathbb{D}^2 \to \mathbb{D}^2$  without fixed point.

Follow rays from f(x) to x until they intersect the boundary circle  $\mathbb{S}^1$ .



# **Brouwer's fixed point theorem: start of a proof** Follow rays from f(x) to x until they intersect the boundary circle $\mathbb{S}^1$ . This gives a continuous map $r : \mathbb{D}^2 \to \mathbb{S}^1$ which is an identity on $\mathbb{S}^1$ .



# Topology

# A general study of continuous maps

## What is a space?

In this lecture: a subset of  $\mathbb{R}^n$ 

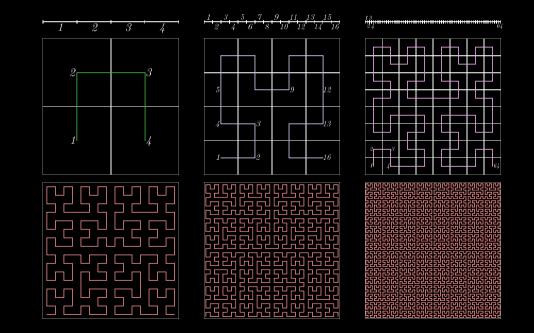
In the wild nature: *topological space* (a very abstract and general definition)

#### From now on, *map* will mean **continuous** function.

Injective and surjective continuous map?

Injective and surjective continuous map? Not good.

Hilbert curve: a curve that fills the whole square

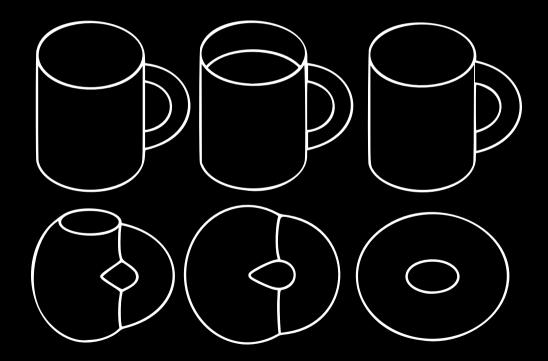


A map  $f: S \to T$  is called a *homeomorphism* if it has a two-sided continuous inverse, i.e. there is a map  $g: T \to S$  such that both composites fg and gf are identity. Then S and T are called homeomorphic (just a fancy word for topologically equivalent).

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**Example:**  $\mathbb{R}^2$  is not homeomorphic to  $\mathbb{R}^3$  nor to  $\mathbb{S}^1$ .

Example: a topologist doesn't know a difference between a cup and a donut



# Is the open unit disk homeomorphic to $\mathbb{R}^2$ ?

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Analogous result holds for  $\mathbb{R}^n$ .

# Is $\mathbb{S}^n$ homeomorphic to $\mathbb{R}^n$ ?

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No; not completely trivial to prove.

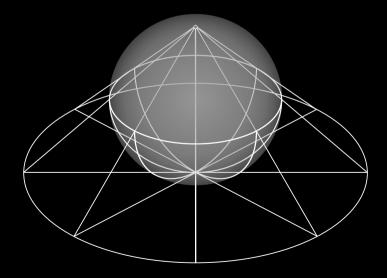
#### Is $\mathbb{S}^n$ with one point removed homeomorphic to $\mathbb{R}^n$ ?

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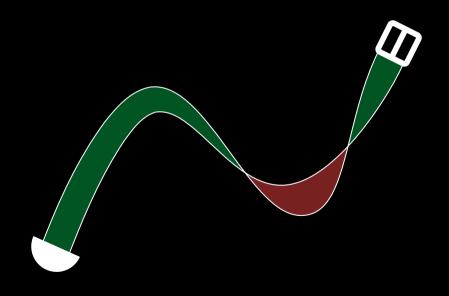
## e. g. $\mathbb{S}^n \setminus \{(0,0,1)\}$

Yes, the homeomorphism is given by the stereographic projection.



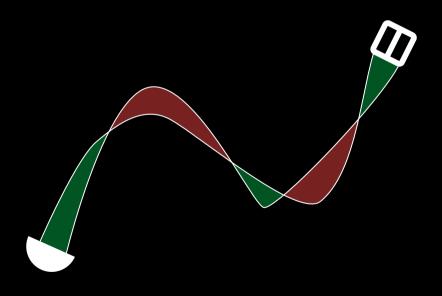
### Dirac belt trick

Have a belt with one end fixed and one twist. It **cannot** be straightened without rotating the buckle.



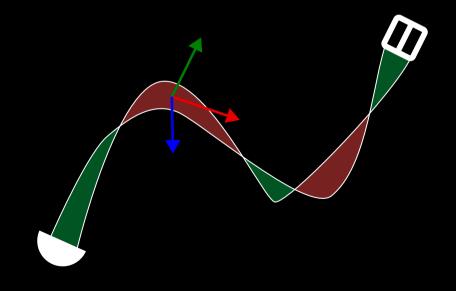
## Dirac belt trick

Have a belt with one end fixed and **two** twists. It **can** be straightened without rotating the buckle!



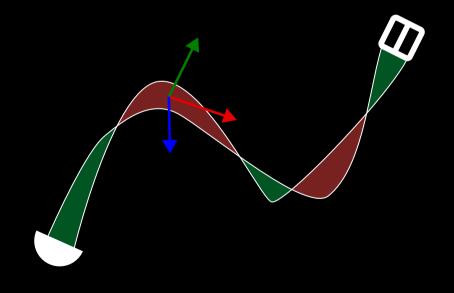
#### Dirac belt trick: what's going on?

As we traverse the belt, track the unit vectors: (in the belt direction; to the side; perpendicular to the belt)



## Dirac belt trick: what's going on?

As we traverse the belt, track the unit vectors: (in the belt direction; to the side; perpendicular to the belt) We get a path in the space of orthogonal vector triples (subset of  $\mathbb{R}^{3\times 3}$ )



# Space of orthonormal positively oriented triples in $\mathbb{R}^3$

Elements may be written as matrices.

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## Space of orthonormal positively oriented triples in $\mathbb{R}^3$

Elements may be written as matrices. These are the rotation matrices.

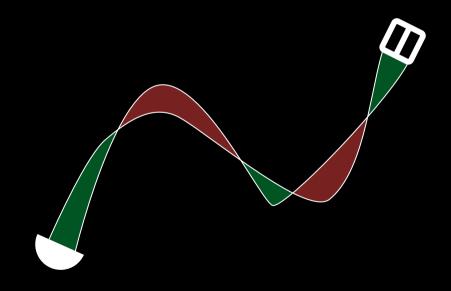
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Elements may be written as matrices. These are the rotation matrices. This space of rotations is called SO(3) (*special orthogonal* group).

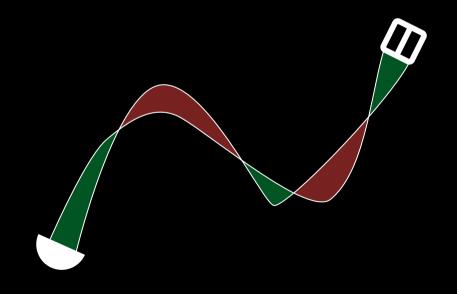
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The belt corresponds to a path in SO(3)Path: a continuous function  $[0, 1] \rightarrow SO(3)$ 



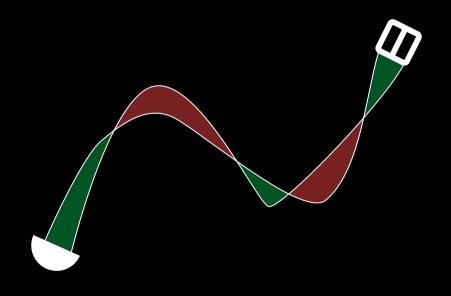
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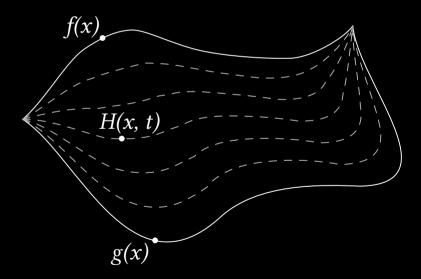
Path: a continuous function  $[0, 1] \rightarrow SO(3)$ Fixing the ends of belt amounts to fixing the endpoints of the path. Moving the belt amounts to deforming the path.



#### **Homotopies: deformations formally**

Let  $f, g: S \to T$  be maps between spaces. They are called *homotopic* if there is a map  $H: S \times [0, 1] \to T$  such that for all  $x \in S$ :

- H(x,0) = f(x)
- H(x,1) = g(x)

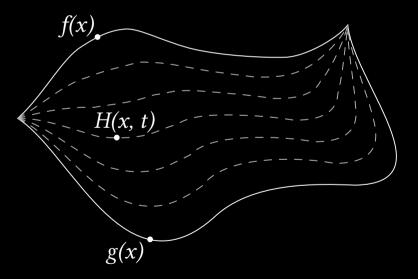


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*H* is called *homotopy*.



#### Homotopy: an example

Identity on  $\mathbb{R}^n$  is homotopic to a constant map to origin via the map H(x,t) = tx

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**Example:**  $\mathbb{R}^n$  is homotopy equivalent to a point. Such a space will be called *contractible*.

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**Example:**  $\mathbb{R}^n$  is homotopy equivalent to a point. Such a space will be called *contractible*.

**Example:** Circle is homotopy equivalent to annulus.

#### Loop space

 $\text{Let} \ast \in S. \text{ Define } \Omega(S, \ast) = \{ \rho : [0, 1] \rightarrow S \mid \rho(0) = \rho(1) = \ast \}.$ 

This is a set of all loops in S beginning and ending in \*.

#### **Product of loops**

$$\Omega(S,*) = \{\rho: [0,1] \to S ~|~ \rho(0) = \rho(1) = *\}$$

For  $\rho, \tau \in \Omega(S, *)$ , define their product  $\rho \tau : [0, 1] \to S$  by:

• 
$$\rho\tau(t) = \rho(2t)$$
 for  $t \in \left[0, \frac{1}{2}\right]$ 

• 
$$\rho\tau(t) = \tau\left(2\left(t - \frac{1}{2}\right)\right)$$
 for  $t \in \left[\frac{1}{2}, 1\right]$ 

We just go around the first loop and then around the second one.

The group axioms in  $\Omega(S, *)$  hold only up to homotopy (constant at the point \*)

- $(\rho\sigma)\tau\sim\rho(\sigma\tau)$
- $e\rho \sim \rho \sim \rho e$
- $\rho\rho^{-1} \sim e \sim \rho^{-1}\rho$

#### where

$$\begin{array}{l} e(t)=*\\ \rho^{-1}(t)=\rho(1-t) \end{array}$$

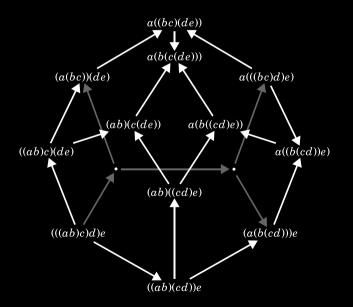
Solution: factor  $\Omega(S,*)$  by homotopies constant at \*.  $\pi_1(S,*) = \Omega(S,*)/\sim$ 

It is called the *fundamental group* of S at \*.

#### Aside: what if we remembered all the homotopies?

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There are shapes called associahedra tracking the higher homotopies. The corresponding algebraic object is called  $A_{\infty}$  algebra.



 $\pi_1(S, *)$  for S contractible and any  $* \in S$  is the trivial group 1. Every loop can be contracted to identity (so it's homotopic to it).  $\pi_1(S, *)$  for S contractible and any  $* \in S$  is the trivial group 1. Every loop can be contracted to identity (so it's homotopic to it). E.g.  $\pi_1(\mathbb{R}^n, 0) = 1$ .

## A space S is called *path connected* if there is a path between any two of its points.

For all  $a, b \in S$ , there is  $\varphi : [0, 1] \to S$  with  $\varphi(0) = a$  and  $\varphi(1) = b$ .

# **Proposition:** For S path connected and $a, b \in S$ , $\pi_1(S, a)$ is isomorphic to $\pi_1(S, b)$ .

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Let  $\varphi$  be a path between a and b. The isomorphism is given by  $\rho \mapsto \varphi \rho \varphi^1$ .

#### Fundamental group of a path connected ${\cal S}$

In light of the previous proposition, we will denote by  $\pi_1(S)$  the group  $\pi_1(S, *)$  for any  $* \in S$ .

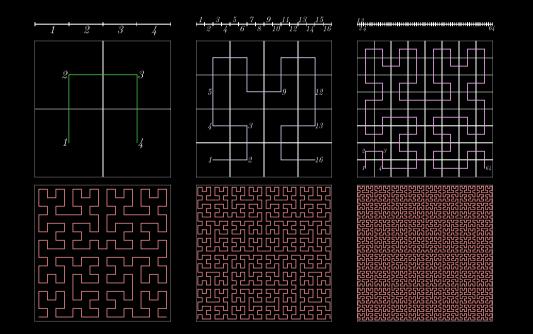
## $\pi_1(\mathbb{S}^n)$ is trivial for $n\geq 2$

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- For a loop  $\rho$ , find  $x \in \mathbb{S}^2$  not in the image of  $\rho$ .
- Project stereographically from x to  $\mathbb{R}^n$ .
- Contract in  $\mathbb{R}^n$ .

#### The previous argument is NOT always correct

We need to carefully deform a curve  $\rho$  that fills the whole sphere to a one that doesn't in order to find the x not in the image of  $\rho$ .



### Group homomorphisms

Let *G* be a group with multiplication \* and *H* a group with multiplication  $\odot$ . A function  $f: G \to H$  is called a *homomorphism* if it preserves the group stucutre, i.e. for  $g, h \in G$ :

 $f(g*h) = f(g) \odot f(h)$ 

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Don't confuse them with hom\*e\*omorphisms! It's a standard terminology :/

#### $\pi_1$ is a functor = respects maps

For  $* \in S$  and  $f : S \to T$ , there is an induced homomorphism

$$\pi_1(f):\pi_1(S,*)\to\pi_1(T,f(*))$$

mapping the class of a loop  $\rho: [0,1] \to S$  to the class of a loop  $f \circ \rho: [0,1] \to T$ .

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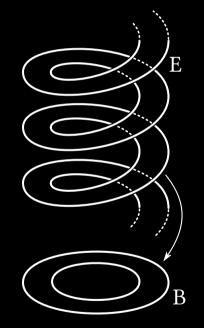
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This means we can think of  $\pi_1$  as a "portal" from spaces to groups.

## How to compute the fundamental group?

#### **Covering of the circle by the real line**

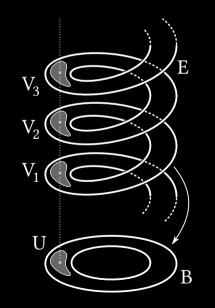
Consider the map  $p : \mathbb{R}^1 \to \mathbb{S}^1$  given by  $p(t) = (\cos 2\pi t, \sin 2\pi t)$ .



#### Coverings

A map  $p: E \to B$  is called a *covering* if the following is satisfied:

- each  $b \in B$  has a neighbourhood U such that  $p^{-1}(U)$  is a disjoint union of spaces homeomorphic to U



### Neighbourhoods formally

For a metric space M with the distance d and  $x \in M$ , let the open ball around x of radius r be  $B(x,r) = \{y \in M : d(x,y) < r\}.$ 

A set  $O \subset M$  is a neighbourhood of  $x \in O$  if it contains some open ball around x.

#### Covering of the circle by the real line

The map  $p: \mathbb{R}^1 \to \mathbb{S}^1$  given by  $p(t) = (\cos 2\pi t, \sin 2\pi t)$  is a covering:

For each  $t \in \mathbb{R}^1$ , there is an open interval  $(t - \varepsilon, t + \varepsilon)$  where sin and cos are invertible.

Its preimage is a disjoint union of such intervals.

### Paths in $B\ {\rm can}\ {\rm be}\ {\rm uniquely}\ {\rm lifted}\ {\rm to}\ E$

**Proposition:** For a covering  $E \rightarrow B$  along with:

- $b \in B$
- a path  $\varphi$  in B with  $\varphi(0) = b$
- $e \in E$  with p(e) = b,

there is a unique path  $\tilde{\varphi}$  in E with  $\tilde{\varphi}(0) = e$  and  $p(\tilde{\varphi}) = \varphi$ .

The proof uses Heine-Borel theorem.

### Homotopies in B can be uniquely lifted to E

**Proposition:** For a covering  $E \rightarrow B$  along with:

- $b \in B$
- paths  $\varphi$ ,  $\psi$  in B with  $\varphi(0) = b = \psi(0)$
- $e \in E$  with p(e) = b,
- homotopy H between  $\varphi$  and  $\psi$

there is a unique lift  $\tilde{H}$  as a homotopy between  $\tilde{\varphi}$  and  $\tilde{\psi}$ .

The proof is completely analogous to the previous.

#### Fibers in coverings

For a covering  $p: E \to B$  and  $b \in B$ , the preimage  $p^{-1}(b)$  is a discrete set of points.

It is called the *fiber* of *b*.

For a covering  $p: E \to B$ , a map  $f: E \to E$  is called a *deck transformation* if it respects the covering, i.e. pf = p.

Deck transformations of a covering form a group called G(E).

A covering  $p: E \to B$  is called *universal* if E is path connected and  $\pi_1(E)$  is trivial.

**Proposition:** For a universal covering  $E \to B$  and  $b \in B$ , the deck transformations correspond to the points of the fiber  $p^{-1}(b)$ .

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Let  $e_0 \in E$  and pick its image  $f(e_0)$ . For  $e \in E$ , pick a path  $\varphi$  from  $e_0$  to e. There is a unique lift  $\hat{\varphi}$  of  $p\varphi$  starting at  $f(e_0)$ . Define  $f(e) = \hat{\varphi}(1)$ . Check that this is well defined.

# What is a group equivalence?

A homomorphism  $f: G \to H$  is called an *isomorphism* if it has a twosided homomorphism inverse, i.e. there is a homomorphism  $g: H \to G$ such that both composites fg and gf are identity. Then S and T are called isomorphic (just a fancy word for group-like equivalent). **Theorem:** For a *universal* path connected covering  $p: E \to B$ ,  $\pi_1(B)$  is isomorphic to the group of deck transformations G(E). **Theorem:** For a *universal* path connected covering  $p : E \to B$ ,  $\pi_1(B)$  is isomorphic to the group of deck transformations G(E). Pick  $b \in B$  and  $e \in p^{-1}(b)$ .

 $[\varphi]\mapsto d_{\tilde{\varphi}(e)}$  (the deck transformation mapping e to  $\tilde{\varphi}(e))$ 

 $d\mapsto p\circ\rho_d$  for a path  $\rho_d$  connecting e and  $d_e$ 

Check that these are mutually inverse homomorphisms.

# Application: the fundamental group of $\mathbb{S}^1$

We have a covering  $p : \mathbb{R}^1 \to \mathbb{S}^1$ ,  $p(t) = (\cos 2\pi t, \sin 2\pi t)$ . What is the group of deck transformations?

# Application: the fundamental group of $\mathbb{S}^1$

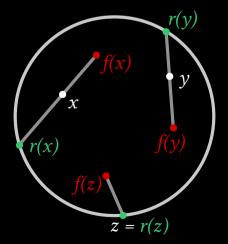
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 $p^{-1}((1,0)) = \mathbb{Z}.$ The deck transformations are translations mapping  $\mathbb{Z}$  to  $\mathbb{Z}$ . This group is isomorphic to  $\mathbb{Z}$  with addition.

Supporse there is a continuous map  $\mathbb{D}^2 \to \mathbb{D}^2$  without fixed point.

Follow rays from f(x) to x until they intersect the boundary circle  $\mathbb{S}^1$ .

This gives a continuous map  $r : \mathbb{D}^2 \to \mathbb{S}^1$  which is an identity on  $\mathbb{S}^1$ .



There is also the inclusion  $i : \mathbb{S}^1 \to \mathbb{D}^2$  and the composite  $ri : \mathbb{S}^1 \to \mathbb{D}^2 \to \mathbb{S}^1$  is identity.

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Apply the fundamental group to this sequence:  $\pi_1(\mathbb{S}^1) \to \pi_1(\mathbb{D}^2) \to \pi_1(\mathbb{S}^1)$  must be identity.

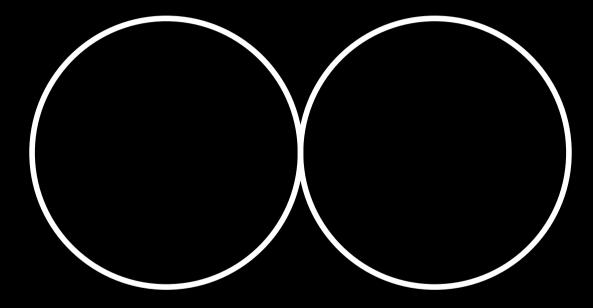
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But this means we must have homomorphisms  $\mathbb{Z} \to 1 \to \mathbb{Z}$ 

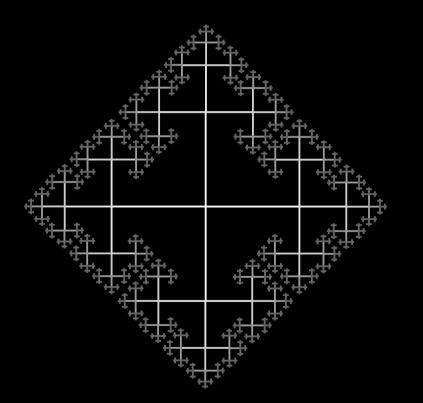
whose composite is identity. A contradiction!

**Application: wedge of 2 circles** 



# Wedge of 2 circles: covering

#### An infinite tree.



### Free group with 2 generators

- Elements: strings of letters  $a, b, a^{-1}, b^{-1}$
- Group operation: concatenation of words
- Neutral element: empty word
- Substrings  $aa^{-1}$ ,  $b^{-1}b$  and so on get erased

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It's the fundamental group of the wedge of 2 circles.

Analogously, the fundamental group of the wedge of n circles is the free group with n generators.

# What is the fundamental group of a connected graph?

**Theorem**: Let *B* be a space for which there exists an universal covering. Then there is a correspondence: coverings of *B*  $\leftrightarrow$ subgroups of  $\pi_1(B)$ 

#### Nielsen-Schreier theorem: Every subgroup of a free group is free.

Nielsen-Schreier theorem: Every subgroup of a free group is free.

Proof: A covering of a wedge of circles is a graph.

Rotations can be represented by quaternions. Nice explanation how it works at https://marctenbosch.com/quaternions Each rotation is represented by two quaternions: q, -q. Rotations can be represented by quaternions.

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This shows that  $\mathbb{S}^3$  (the space of unit quaternions) is a double cover of SO(3).

Rotations can be represented by quaternions.

Nice explanation how it works at https://marctenbosch.com/quaternions Each rotation is represented by two quaternions: q, -q.

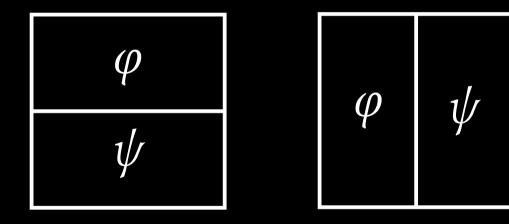
This shows that  $\mathbb{S}^3$  (the space of unit quaternions) is a double cover of SO(3). So the fundamental group of SO(3) must be  $\mathbb{Z}_2$ .

#### Higher homotopy groups (of a space S at $* \in S$ )

$$\begin{split} \Omega^n(S,*) &= \{ \text{ maps } [0,1]^n \to S \text{ with the boundary mapped to } * \} \\ \pi_n(S,*) &= \Omega^n(S,*)/\sim \text{(factored by homotopies)} \end{split}$$

# Group structure on $\pi_2(S,*)$

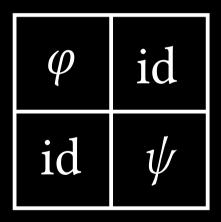
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The same holds for  $\pi_n(S, *)$ , n > 2.

**Theorem:** A covering  $p: E \to B$  provides an isomorphism  $\pi_n(p): \pi_n(E) \to \pi_n(B)$  for all  $n \ge 2$ .

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Thanks to this,  $\pi_n(\mathbb{S}^1)$  is trivial for  $n \geq 2$ .

# Homotopy groups of spheres: facts

- $\pi_i(\mathbb{S}^n)$  is trivial for i < n
- $\bullet \ \pi_n(\mathbb{S}^n) = \mathbb{Z}$
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- $\pi_i(\mathbb{S}^n)$  is isomorphic to  $\pi_{i+1}(\mathbb{S}^{n+1})$  for i < 2n-1(Freudenthal suspension theorem)

In general, the patterns of higher homotopy groups of spheres remain shrouded in mystery.